



ESIS, Inc. – Global Risk Control Services

Webinar Series: Auditing and Management Systems Development

The Audit Practice of ESIS is beginning a series of mini-seminars (webinars) to help us all improve our auditing and management systems skills. There are two tracks, Technical and Management Systems. The Technical track is for everyone who would perform an audit or assessment. The Management Systems track will be for those interested in better understanding management systems development and assessment techniques. Each will be a 20-30 minute presentation and exercise. Currently these are being delivered to client-specific audiences. An open schedule is not yet determined.

Technical Track (Auditing/Assessments)

- 1- “The Use of Language – how to help get better results from your reports”
The presentation focuses on how to write evaluation criteria, findings and recommendations
- 2- The Audit Report –
The presentation focuses on how to build and document a reproducible process
- 3- Document Review – A Focus on Records
The presentation focuses on how to review procedures and records, with an emphasis on records review
- 4- The Interview Process – how to ask the right questions, and how to correlate the answers
The presentation focuses on interview techniques, formal and informal, and how to record and score the answers
- 5- Verification Methodologies – How do you know what you see
The presentation focuses on selecting the right mix and % of documents and anecdotal evidence to verify via shop floor visits.

Management Systems Track

- 1- What is a “System”
The presentation will focus on understanding the dynamics of processes within a system, and how those processes can overlap, creating a system.
- 2- What are the differences among Safety Management Systems?
There are many different SMS that exist, and they are not all created equal. Basic differences, strengths and weaknesses will be discussed.
- 3- How to Define and Measure Management Commitment
This presentation discusses best practices among companies demonstrating Management Commitment, and how to measure the level of commitment
- 4- How to Define and Measure Employee Involvement
This presentation discusses the definition of involvement, how it matures to ownership and empowerment, and how to measure the level of involvement.
- 5- How to Define and Measure Risk Management
This presentation discusses the definition of Risk Assessment, Risk Management and risk reduction, specifically with regard to establishing leading metrics to monitor continuous improvement.
- 6- The Inspection Process –
Designing the process to reduce the frequency of findings
This presentation discusses how to design a checklist, and do meaningful trend analysis
- 7- Trend Analysis – Trending other safety data
This Presentation discusses the trending of safety data other than injury and illness, (inspection finding categories, causal factor categories) and how to best use this data



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- 8- Goals and Objectives Setting
This presentation discusses where goals come from, and how to write SMART objectives.
- 9- Root Cause
This presentation discusses how to get from causal factors to root cause, i.e., systems failures.
- 10- Effective Safety Committees
This presentation discusses best practices from effective committees, and how to hold a committee meeting that does something other than discusses “does anyone have any safety issues?” Discussion also will address Group dynamics, Charter and minutes, as well as a maturity path assessment tool.
- 11 The Safety Scorecard
This presentation focuses on how to build leading metrics into line management accountability for safety program performance.

Specialty Tracks

- 1- What is VPP (Voluntary Protection Program)?
- 2- What’s new with OHSAS 18000 based on changes to OHSAS 18000?
- 3- Implementing Management Systems – Where do you start?

For further information, contact:

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